Feb-22-06 05:13pm From-HB\$&R

1978-341-0136

T-192 P.01/11 F-619

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Examiner:

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Date:

February 22, 2006

Client Code:

3268.1003-004

Facsimile No.:

571-273-8300

From:

David E. Brook, Esq.

Subject:

Request for Corrected Filing Receipt

Docket No.:

3268.1003-004

Applicant:

Kevin J. Tradey

Application No.:

10/53\$,267

Filing Date:

November 22, 2005 (371[c] date)

Number of pages including this cover sheet: 11

Please confirm receipt of facsimile: Yes X No ____

Sir: On the Filing Receipt that we received on February 21, 2006, the number of independent claims is recorded incorrectly as "2." In fact, there are 4 independent claims in this application. Copies of the Filing Receipt, Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.495 and the claims, as filed, are enclosed. Independent Claims 1, 10, 17 and 31 have been circled.

The Notice of Acceptance indicates an overpayment of \$200. This is incorrect, since the fees were properly paid for 4 independent claims. Please correct the USPTO records to show the independent claims as "4", charge Attorney Deposit Account 08-0380 for the \$200 that may have been incorrectly credited, and issue a corrected Filing Receipt indicating that these corrections have been made.

Respectfully submitted,

David E. Brook

Registration No. 22,592

and E. Brook

@PFDesktop\ ODMA/MI:ODMA/HBSR05,iManage.607386,1

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tradumine Office Agency COMMISSIONER FOR PATENTS DO 1450 ACCORDANCE VIRGINI 223 13-1430 ACCORDANCE VIRGINI 223 13-1430

FILING OR 371 TOT CLMS IND CLMS ART UNIT FIL FEE REC'D ATTY DOCKET NO DRAWINGS APPL NO (C) DATE 3268 1003-004 2180 11/22/2005 1642 10/535,267

CONFIRMATION NO. 6690

021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P Q BOX 9133 CONCORD, MA 01742-9133

FILING RECEIPT OC000000018044960



Date Mailed: 02/14/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please venify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(8)

Kevin J Tracey, Old Greenwich, CT.

Power of Attorney: The patent practitioners associated with Customer Number 021005

Domestic Priority data as claimed by applicant

This application is a 311 of PCT/US03/36975 11/19/2003 which claims benefit of 60/427,848 11/20/2002

Foreign Applications

Title

Projected Publication Date: 05/25/2006

Non-Publication Request: No

Early Publication Request: No

Use of hmgb polypetides for increasing immune responses

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HAMILTON, BROOK SMITH & REYNOLDS, P.C. **Preliminary Class**

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. palent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desining a patent in a particular country must make an application for patent in that country injeccordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government notline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United State. Parent and Trademark Office Address COMMISSIONER FOR PATENTS 10 Bax 1-30 Alexandra Vagnus 27313-1430 1974-198080-

I A FILING PATE

11/19/2003

FIRST NAMED APPLICANT ATTY DOCKET NO. U.S. APPLICATION NUMBER NO 3268.1003-004 10/535.267 Kevin J. Tracey

> INTERNATIONAL APPLICATION NO PCT/US03/36975

021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

530 VIRGINIA ROAD P.O. BOX 9133

CONCORD, MA 01742-9133

CONFIRMATION NO. 6690 371 ACCEPTANCE LETTER

PRIORITY DATE

11/20/2002

OC000000018044961

Date Mailed: 02/14/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1 495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Parent and Trademark Office

The United States Application Number assigned to the application is shown above and the relevant dates are

11/22/2005

DATE OF RECEIPT OF 35 U S.C. 37(c)(1), (c)(2) and (c)(4) REQUIREMENTS

11/22/2005

DATE OF COMPLETION OF ALL 35 U S C 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.O. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received

- Copy of the International Application filed on 05/17/2005
- Copy of the International Search Report filed on 05/17/2005
- Copy of IPE Report filed on 11/22/2005
- Preliminary Amendments filed dn 05/17/2005
- Biochemical Sequence Diskette filed on 05/17/2005
- Oath or Declaration filed on 11/22/2005
- Biochemical Sequence Listing filed on 05/17/2005
- u \$ Basic National Fees filed dn 05/17/2005
- Power of Attorney filed on 11/22/2005
- Specification filed on 11/22/2005
- Claims filed on 11/22/2005

- Abstracts filed on 11/22/2005
- Drawings filed on 11/22/2005
- Paper nucleotide sequence listings filed on 11/22/2005

The following defects have been observed

SUMMARY OF FEES DUE.

Total additional fee(s) for this application is \$-200 for a large entity.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no shown above (37 CFR 1.5)

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)